

Committee(s): Planning and Transportation Committee	Dated: 18 July 2023
Subject: Risk Management Update Report	Public
Which outcomes in the City Corporation's Corporate Plan does this proposal aim to impact directly?	All
Does this proposal require extra revenue and/or capital spending?	N
Report of: Executive Director Environment	For Information
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Summary

This report provides the Planning and Transportation Committee with assurance that risk management procedures in place within the Environment Department are satisfactory and that they meet the requirements of the Corporate Risk Management Framework.

Risk is reviewed regularly within each service area as part of the ongoing management of operations. In addition to the flexibility for emerging risks to be raised as they are identified, a process exists for in-depth periodic review of the risk register.

This report considers the key risks managed by the service areas of the Environment Department which fall within the remit of your Committee.

Recommendation

Members are asked to:

- Note the report and the actions being taken by the Environment Department to monitor, mitigate and effectively manage risks arising from their operations.

Main Report

Background

1. The Risk Management Framework of the City of London Corporation requires each Chief Officer to report regularly to Committee on the key risks faced by their department.
2. To fulfil this requirement, the key risks of the service areas of the Environment Department which fall within the remit of the Planning and Transportation Committee are presented to you every four months.

3. Risk Management is discussed regularly by the Department's Senior Leadership Team and at the meetings of each service area's Senior Management Team.
4. Between Management Team meetings, risks are reviewed in consultation with risk and control owners, and updates are recorded in the corporate risk management system (Pentana).

Current Position

5. This report provides an update on the key risks that exist in relation to the operations of service areas of the Environment Department which fall within the remit of the Planning and Transportation Committee:
 - The Planning and Development Division, including the District Surveyor
 - The City Operations Division: Highways and Transportation services

Summary of key risks

6. The service areas which report to your committee hold a total of seven key risks (two RED and five AMBER). The risks are summarised below and the Risk Register is presented in full at Appendix 2.
 - **ENV-CO-HW 010:** Car parks: Fire safety (Red, 24)
 - **ENV-CO-TR 001:** Road Safety (Red, 24)
 - **ENV-CO-TR 003:** Transport and public realm projects not delivered due to lack of funding (Amber, 12)
 - **ENV-PD-DS-001:** The District Surveyor's (Building Control) Division becomes too small to be viable (Amber, 12)
 - **ENV-PD-PD 007:** Adverse planning policy context (Amber, 12)
 - **ENV-CO-HW 002:** Working in service/pipe subways (confined spaces) (Amber, 8)
 - **ENV-PD-DS 003:** Inspecting dangerous structures (Building Control) (Amber, 8)

Red risks

7. **ENV-CO-HW 010: Car parks: Fire safety.** This risk is currently scored at RED, 24 (possible; extreme). Due to the dilapidation and location of some car parks, the risk of fire, accidents and near misses is increased. Officers are undertaking a range of actions to improve the car parks with the aim to reduce the risk rating to a score of Green, 4 (unlikely; serious). Notably, a funding bid for fire safety works at London Wall car park has been submitted to the officer Priorities Board; if approved, it will go to Resource Allocation Sub Committee in due course.
8. **ENV-CO-TR 001: Road Safety.** This current score of this risk is Red 24 (likelihood: possible; impact: extreme) and the target is to reduce it to a score of Red 16 (unlikely; extreme) by the end of March 2027 by delivering a range of

projects, campaigns, training and engagement activities to reduce road danger and encourage safe behaviour. Further details are provided in Appendix 2

New risk

9. **ENV-CO TR 003: PD-DS-001: Transport and public realm projects not delivered due to lack of funding.** This new risk has been added to the register to address the potential impacts on delivery of transport and public realm projects should insufficient funding be available. The risk is scored as Amber 12 (possible/major). Bids for OSPR and CIL funding have been submitted and officers continue to seek opportunities to bid for, and maximise the use of, external funding. The target for this risk is to reduce it to a score of Amber 8 (unlikely/major).

10. New and emerging risks are identified through a number of channels, the main being:
 - Directly by Senior Management Teams as part of the regular review process.
 - In response to ongoing review of progress made against Business Plan objectives and performance measures, e.g., slippage of target dates or changes to expected performance levels.
 - In response to emerging events and changing circumstances which have the potential to impact on the delivery of services, such as availability of funding, Brexit and the COVID-19 pandemic.

Corporate & Strategic Implications

11. Effective management of risk is at the heart of the City Corporation's approach to delivering cost effective and valued services to the public as well as being an important element within the corporate governance of the organisation.
12. The proactive management of risk, including the reporting process to Members, demonstrates that the department is adhering to the requirements of the City of London Corporation's Risk Management Policy and Strategy.
13. The risk management processes in place in the Environment Department support the delivery of the Corporate Plan, our Departmental and Divisional Business Plans and relevant Corporate Strategies

Conclusion

14. Members are asked to note that risk management processes within each service area adhere to the requirements of the City Corporation's Risk Management Framework. Risks identified within the operational and strategic responsibilities of each area are proactively managed.

Appendices

- Appendix 1 – City of London Corporation Risk Matrix
- Appendix 2 – Environment Department Key Risks (Planning and Transportation Committee)

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